

This form may be used to report a late independent expenditure. This form must be filed by fax, telegram, guaranteed overnight delivery, or personal delivery. **Regular mail may not be used.** State law or local ordinance also may require Form 496 to be filed electronically.

Any committee that makes independent expenditures totaling \$1,000 or more to support or oppose a **single** candidate or a **single** ballot measure during the 16 days immediately prior to the election in which the candidate or measure is being voted on must file a late independent expenditure report. (Gov. Code section 85501 prohibits a candidate's controlled committee from making an independent expenditure.)

## What is an "Independent Expenditure?"

An "independent expenditure" is an expenditure made in connection with a communication (e.g., a billboard, advertisement, mailing) that expressly advocates the nomination, election, or defeat of a clearly identified candidate, or the qualification, passage, or defeat of a clearly identified measure, or taken as a whole and in context, unambiguously urges a particular result in an election but which is not made to—or at the behest of—the affected candidate or committee.

## Where to File:

File with the filing officer(s) who would receive campaign statements if you were a committee primarily formed to support or oppose the candidate or measure.

## When to File:

File **within 24 hours** of making the independent expenditure(s) and each time \$1,000 or more is spent to support or oppose a single candidate or measure during the 16 days immediately prior to the election in which the candidate or measure is being voted on.

## How to Complete:

**Report Number.** Assign a unique identifying number to each late independent expenditure report you file. You may use any number (such as 1, 2, 3, PR-1, PR-2, PR-3, etc.) and the report numbers do not have to be sequential.

**Amendment.** To amend a late independent expenditure report, assign a new unique identifying number as the Report Number, check the Amendment box, and enter the identifying number of the report you are amending. (Committees filing electronically with the Secretary of State: Use the filing number assigned by Cal-ACCESS.) Describe the amendment in the space provided at the bottom of the form.

**Part 1.** Identify the candidate or ballot measure supported or opposed. Use a separate form for each candidate or measure. (Example: A committee makes an independent expenditure by running an advertisement opposing two candidates. The ad costs \$4,000 and features the candidates equally. The committee must file a separate late independent expenditure report for each candidate and disclose \$2,000 for each candidate.)

**Part 2.** Provide the date, amount and a description of the independent expenditure made (e.g., radio advertisement, billboard, mailing).

**Part 3. New Proposition 34 Requirement for Recipient Committees.** Disclose contributions of \$100 or more received after the closing date of your last campaign statement (Form 450 or 460) through the date of the independent expenditure. If no previous campaign statement has been filed, disclose contributions of \$100 or more received since January 1 of the current calendar year.

Disclose the name and address of the contributor and, if the contributor is an individual, his or her occupation and the name of his or her employer. If the contributor is self-employed, disclose the name of the business. Also disclose the date and amount of the contribution, the contributor code, and type of contribution. Enter the interest rate if the contribution is a loan.

Once you have disclosed a contribution on this form (Form 496), it is not necessary to report that contribution on any additional Form 496 filings you make during the late reporting period.

## NOTE

Both late independent expenditures made and contributions received must also be reported on the next regular campaign statement you file. In addition, a Supplemental Independent Expenditure Report (Form 465) must be filed. Refer to the [Information Manual on Campaign Disclosure Provisions of the Political Reform Act](#) for instructions.

# Late Independent Expenditure Report

Type or print in ink.  
Amounts may be rounded to whole dollars.

LATE INDEPENDENT EXPENDITURE REPORT

<b>NAME OF FILER</b>  AREA CODE/PHONE NUMBER _____ I.D. NUMBER <i>(if applicable)</i> _____  STREET ADDRESS _____  CITY _____ STATE _____ ZIP CODE _____		<b>Date of This Filing</b> _____  <b>Report No.</b> _____  <input type="checkbox"/> <b>Amendment to Report No.</b> _____ (explain below)  <b>No. of Pages</b> _____	Date Stamp _____	<b>CALIFORNIA FORM 496</b> For Official Use Only
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## 1. List Only One Candidate or Ballot Measure

<b>NAME OF CANDIDATE SUPPORTED OR OPPOSED</b>			<b>NAME OF BALLOT MEASURE SUPPORTED OR OPPOSED</b>			
OFFICE SOUGHT OR HELD/DISTRICT NO.	SUPPORT	OPPOSE	BALLOT NO./LETTER	JURISDICTION	SUPPORT	OPPOSE

## 2. Independent Expenditures Made *Attach additional information on appropriately labeled continuation sheets.*

DATE	DESCRIPTION OF EXPENDITURE	AMOUNT

Reason for Amendment: \_\_\_\_\_

# Late Independent Expenditure Report

LATE INDEPENDENT EXPENDITURE REPORT

**CALIFORNIA**  
**FORM 496**

NAME OF FILER

I.D. NUMBER (If applicable)

### 3. Contributions of \$100 or More Received \*

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE **	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED	INTEREST RATES
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%

\*Major donor and independent expenditure committees that do not receive contributions are not required to complete Part 3.

\*\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee (other than PTY or SCC)  
 OTH – Other  
 PTY – Political Party  
 SCC – Small Contributor Committee

**FPPC Form 496 (June/01)**  
**FPPC Toll-Free Helpline: 866/ASK-FPPC**  
**866/275-3772**